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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/587,112	08/15/2006	Gary Raymond Bowman	08291-747US1 11344P5 USw/	9527
26161 7590 03/31/2011 FISH & RICHARDSON P.C. (BO) P.O. BOX 1022 MINNEAPOLIS, MN 55440-1022			EXAMINER LEA, CHRISTOPHER RAYMOND	
			ART UNIT 1613	PAPER NUMBER
			NOTIFICATION DATE 03/31/2011	DELIVERY MODE ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PATDOCTC@fr.com

Office Action Summary	Application No. 10/587,112	Applicant(s) BOWMAN ET AL.	
	Examiner CHRISTOPHER R. LEA	Art Unit 1613	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 30 August 2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 65-75,77-92,94-96,98-103,105,107 and 109-113 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 65-75,77-92,94-96,98-103,105,107 and 109-113 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

This application is a 371 (national stage application) of PCT/GB05/00024.

Receipt of Amendments/Remarks filed on August 30, 2010, is acknowledged. In response to non-final Office Action dated March 4, 2010, applicant amended claims 65, 72, 74, 75, 77 83, 86, 88, 89, 92, 94, 98, 103, 105, 107, 109, canceled claims 64, 76, 93, 97, 104, 106, & 108, and added no new claims. Claims 65-75, 77-92, 94-96, 98-103, 105, 107, & 109-113 are pending. Claims 65-75, 77-92, 94-96, 98-103, 105, 107, & 109-113 are under examination.

Rejections and/or objections not reiterated from previous office actions are hereby withdrawn. The following rejections and/or objections are either reiterated or newly applied. All new rejections applied have been necessitated by applicant's amendment to the claims. They constitute the complete set presently being applied to the instant application.

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

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1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claims 65-75, 77-92, 94-96, 98-103, 105, 107, & 109-113 are rejected under 35 U.S.C. 103(a) as being unpatentable over Liers et al. (Medical and Veterinary Entomology, vol. 15, p299-303, cited by applicants on IDS) in view of the Petterino et al. (Veterinary and Human Toxicology, volume 43 issue 6, p353-360) and Jeannin et al. (US Patent 6,162,820).

Applicant claims

Applicant claims a rodenticidal composition comprising fipronil, a second generation rodenticide, and a feeding stimulant. Applicant also claims methods of using such a composition to kill fleas, ticks and their host rodents.

**Determination of the scope and content of the prior art
(MPEP 2141.01)**

Liers et al. teach, as a whole, a composition containing fipronil, bromadiolone (a second generation rodenticide) and a feeding stimulant as well as methods of controlling fleas and the rats they inhabit.

Claims 64, 69-73, 76, 83-87: Liers et al. teach bait comprising fipronil, bromadiolone (a rodenticide) and crushed wheat (a cereal grain and feeding stimulant) (Materials and Methods section, especially page 300, first full paragraph).

Claims 65-68, 77-82, 90-91: Liers et al. teach fipronil concentrations of 0.0005 and 0.005% and a rodenticide concentration of 0.005% with the remainder being feeding stimulant (Materials and Methods section, especially page 300, first 2 full paragraphs and table 2).

Claims 74 & 88: Liers et al. teach increasing the palatability of the bait by possibly adding rice (a cereal grain, hence an attractant) to the bait (p 303, last full paragraph).

Claims 75 & 89: Liers et al. teach using a solvent (acetone or propylene glycol) in the composition (p 300, third full paragraph and table 2).

Claims 92-113: Liers et al. teach a method of killing fleas and their host rats by providing a bait composition comprising 0.005% (50 ppm) fipronil and 0.005% (50 ppm) bromadiolone (a rodenticide) (Materials and Methods section, especially page 300 including tables 2 & 3, also Discussion section, Figure 2).

Ascertainment of the difference between the prior art and the claims

(MPEP 2141.02)

The difference between the teachings Liers et al. and the instant claims is that Liers et al. uses bromadiolone as the rodenticide, whereas the claims select the rodenticide from the group consisting of brodifacoum, difethialone, flocoumafen and mixtures thereof. This deficiency in the teachings of Liers et al. is cured by the teachings of Petterino et al.

Petterino et al. teach bromadiolone, brodifacoum, difethialone, and flocoumafen are all useful as second-generation, anticoagulant rodenticides (p353, 3rd paragraph). Petterino et al. also teach that bromadiolone has a higher LD₅₀ against rodents (less effective as a rodenticide) than brodifacoum, difethialone, and flocoumafen (p355-357, tables 4, 5, 7, & 8).

The difference between the teachings Liers et al. and the instant claims is that Liers et al. use the bait composition in a method to kill fleas not ticks. This deficiency in the teachings of Liers et al. is cured by the teachings of Jeannin et al.

Jeannin et al. teaches, as a whole, methods for controlling ectoparasites with fipronil. Jeannin et al. specifically teaches that fipronil is useful for killing both ticks and fleas (column 4, lines 10-15).

**Finding of *prima facie* obviousness
Rationale and Motivation (MPEP 2142-2143)**

It would have been *prima facie* obvious to one of ordinary skill in the art at the time the claimed invention was made to substitute brodifacoum, difethialone, or flocoumafen for bromadiolone in the bait and method of Liers et al. as well as using the

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bait and method against both fleas and ticks and produce the instant invention, because brodifacoum, difethialone, or flocoumafen are art-recognized as more effective rodenticides than bromadiolone and fipronil is art-recognized as both an insecticide and an acaricide. The skilled artisan would have been motivated to use brodifacoum, difethialone, or flocoumafen instead of bromadiolone because the Petterino et al. teach that brodifacoum, difethialone, and flocoumafen are more potent rodenticides. The skilled artisan would have been motivated to use the bait composition taught by Liers et al. against ticks as well as fleas because Jeannin et al. teach that fipronil is effective against both fleas and ticks.

All the critical elements of the instant claims are disclosed. The amounts and proportions of each ingredient are result-effective parameters chosen to obtain the desired effects. It would be obvious to vary amounts of the ingredients to optimize the effect desired, depending upon the particular host species and application method of interest, reduction of toxicity, cost minimization, enhanced, and prolonged, or synergistic effects. Applicant has not provided any objective evidence of criticality, non-obvious or unexpected results that the administration of the particular ingredients' or concentrations provides any greater or different level of prior art expectation as claimed, and the use of ingredient for the functionality for which they are known to be used is not basis for patentability. The instant invention provides well-known old art-recognized compounds, with well-known art-recognized effects, applied by well-known art-recognized methods to achieve improved control as is well-known in the art.

In light of the forgoing discussion, one of ordinary skill in the art would have concluded that the subject matter defined by the instant claims would have been obvious within the meaning of 35 USC 103(a). From the teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in using brodifacoum, difethialone, or flocoumafen as a rodenticide in the compositions and methods taught and producing the claimed invention. Therefore, the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

Response to Arguments

5. Applicant's arguments filed August 30, 2010, have been fully considered but they are not persuasive.

Applicant argues that the claimed invention uses the rodenticides brodifacoum, difethialone and flocoumafen and not bromadiolone as the prior art. The examiner asserts that he has provided excellent reasoning as why one of ordinary skill in the art would substitute the rodenticides brodifacoum, difethialone and flocoumafen for bromadiolone in the prior art composition as bromadiolone belongs to the same class of second generation coumadin-based rodenticide as those claimed but that it is the least active.

Applicant argues that the claimed "compositions include also fipronil in concentrations as low as 0.0001% (1 ppm), and this is significantly lower than the

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minimum amount of fipronil disclosed in the Leirs article or in Jeannin US Patent No. 6,162,820.” While this is true, the claims all contain the language “at least” in terms of the concentration of fipronil used. Therefore higher concentrations clearly fall in the scope of the claims. Further, applicant has not provided any objective evidence to show that the concentration of fipronil is critical to the functioning of the invention.

Applicant further argues that “there is no teaching in any of the three cited references that compositions comprising fipronil and any of Applicants' three rodenticides would be effective against ticks hosted by rodents.” While no single reference states that compositions of fipronil and one of applicant's claimed rodenticides would work against ticks hosted by the rodents, this conclusion is logical and rational given the knowledge of one of ordinary skill in the art as demonstrated by a combination of the cited references. Leirs teaches a composition comprising fipronil and a rodenticide to kill both rodents and the fleas they host. As Jeannin demonstrates that fipronil is effective against both insects (i.e. fleas) and acarids (i.e. ticks), it is logical (and reasonable) to conclude that such a composition would kill not only the hosted fleas but any ticks also hosted by the rodent (especially in the absence of evidence to the contrary). The only remaining concern is that Liers does not use the claimed rodenticides, but that has been addressed above, and the substitution of one anticoagulant rodenticide for another is unlikely (again in the absence of evidence to the contrary) to effect the insecticidal and/or acaricidal activity of fipronil.

The expected result remains the same; a combination rodenticide/fipronil composition is made in the absence of evidence to the contrary. No unexpected results

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have been presented. Applicant's arguments are not persuasive, and the rejection under 35 U.S.C. §103(a) is maintained.

Conclusion

Claims 65-75, 77-92, 94-96, 98-103, 105, 107, & 109-113 are rejected. No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHRISTOPHER R. LEA whose telephone number is (571)270-5870. The examiner can normally be reached on Mon-Fri 7:30-3:30 ET.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian Kwon can be reached on (571)272-0581. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Brian-Yong S Kwon/

/C. R. L./

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Supervisory Patent Examiner, Art Unit 1613

Examiner, Art Unit 1613

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